

Instanton homology (Floer):  $\rightarrow$  target for relative Donaldson invariants

$Y^3, H_1(Y) = 0 \rightsquigarrow CF_*$  gen<sup>d</sup> by irred. representations

$$\{\rho: \pi_1(Y) \rightarrow SU(2)\} / PSU(2) \equiv \left\{ A \in \mathcal{A}(Y) / F_A = 0 \right\} / \mathcal{G} / \mathcal{G}_Y$$

cons. on  $Y \times SU(2) \rightarrow Y$

( $\rho: \pi_1(Y) \rightarrow SU(2)$  is irreducible  $\Leftrightarrow$  conj. orbit  $\mathcal{O}_\rho = PSU(2)$   
 trivial  $\rho = 1 \Rightarrow PSU(2)$ -conj. orbit:  $\mathcal{O}_\rho = pt$ )

$\partial: CF_* \supseteq \partial[A] = \sum_B n_{AB} [B], n_{AB}$  counts sol<sup>s</sup> to ASD eq<sup>s</sup> on  $Y \times \mathbb{R}$

$\rightsquigarrow HF_*(Y)$   $\mathbb{Z}/8$ -graded homology

Versions of  $HF_*$ : (Donaldson's book)

		irred	red	
Floer	$HF$	$\mathbb{Z}$	0	
Austin-Braam	<u><math>HF</math></u>	$\mathbb{Q}$	$\mathbb{Q}(t)$ deg $t = 4$	$\leftarrow H^*_{PSU(2)}(\mathcal{O}_\rho, \mathbb{Q})$
"framed instanton $h_*$ "	$\widetilde{HF}$	$\mathbb{Q} \oplus \mathbb{Q}$	$\mathbb{Q}$	$\leftarrow H^*(\mathcal{O}_\rho, \mathbb{Q})$

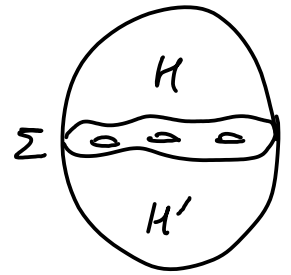
Questions: 1) how about  $HF$  for other 3-manifolds?

Issue: Nontrivial reducibles:  $\rho: \pi_1(Y) \rightarrow S^1 \rightarrow SU(2)$   
 conj. orbit  $\mathcal{O}_\rho \cong S^2$

Could instead use  $E \downarrow Y$  nontrivial  $U(2)$ -bundle with  $c_2$  odd  $\Rightarrow$  no reducibles  $\checkmark$

Can one define  $HF$ ,  $\widetilde{HF}$  for any  $Y$ ?

2) Atiyah-Floer conjecture:  $Y = H \cup_{\Sigma} H'$   
 handlebodies



$$\mathcal{M}(H) \hookrightarrow \mathcal{M}(\Sigma)$$

$\mathcal{M}(H')$   $\hookrightarrow$  "symplectic"  $\leadsto$  "HF(Y) = HF<sub>Lag</sub>( $\mathcal{M}(H), \mathcal{M}(H')$ )"  
 "Lagrangian" Lag. Floer homology in  $\mathcal{M}(\Sigma)$ .

Problem:  $\mathcal{M}(H), \mathcal{M}(H'), \mathcal{M}(\Sigma)$  are all singular!

Works for nontrivial bundles

( $\leadsto$  A-F conj. proved for mapping tori - Jostoglou-Salamon)

See also Wehrheim-Woodward...

Today: trivial  $SU(2)$ -bundles

|| Given any  $Y^3 = H \cup_{\Sigma} H'$ , define  $\widetilde{HSI}_{\#}(\Sigma, H, H')$   $\mathbb{Z}/8$ -graded  
 (defined using Lagrangian HF)  $\mathbb{Z}$ -coefficients

Conj: || This is a 3-manifold invariant (indep. of Heegaard splitting)

Conj: (Atiyah-Floer). ||  $H_1(Y) = 0 \Rightarrow \widetilde{HSI} = \widetilde{HF}$

Work in progress: •  $G$  simply connected simple Lie group (ADE type)  
 $\leadsto \widetilde{HSI}_G$  ( $G \neq SU(2)$ : inv. ???)

• equivariant HSI (cf. Virelizier-Bourgeois-Dancu)

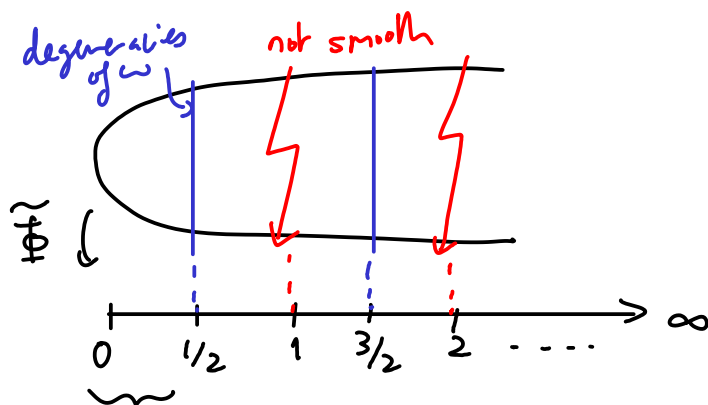
Goal: 3+1 TQFT



Note: •  $\tilde{\Phi}^{-1}(0)/G = \mathcal{M}(\Sigma)$


•  $\mathcal{M}^g(\Sigma')$  is  $\begin{cases} \text{smooth outside } \tilde{\Phi}^{-1}(\mathbb{Z}_{>0}) \\ \text{symplectic outside } \tilde{\Phi}^{-1}(\frac{1}{2}\mathbb{Z}_{>0}) \end{cases}$

$\omega \in \Omega^2(\mathcal{M}^g(\Sigma'))$  closed, but degenerate at  $\tilde{\Phi} \in \frac{1}{2}\mathbb{Z}$



• The  $SU(2)$ -action on  $\mathcal{M}^g(\Sigma') \setminus (\dots)$  is Hamiltonian and its moment map is  $\tilde{\Phi}$

Let  $U = \tilde{\Phi}^{-1}([0, \frac{1}{2}))$  : then  $\mathcal{M}(\Sigma) \cong \tilde{\Phi}^{-1}(0)/G \cong U//G$ .

Now, if  $Y = H \cup_{\Sigma} H'$  handlebodies 

$$L(H) := \left\{ \begin{array}{l} \pi_1(H) \rightarrow SU(2) \\ \uparrow \\ \text{free gp } \mathbb{F}_g \end{array} \right\} = SU(2)^g \subset \tilde{\Phi}^{-1}(0) \subset \mathcal{M}^g(\Sigma')$$

$$= \{ A_i = Id, \theta = 0 \}$$

$L(H')$  similarly

Ex:  $S^3$  :   $L(H) = \{ A_i = Id \}$   
 $L(H') = \{ B_i = id \}$

Ideally:  $\widetilde{MSI} := HF_{\leftarrow}(L(H), L(H'))$  in  $\mathcal{U}$

MB:  $\mathcal{U}$  is monotone

Problem:  $U$  is not compact, not convex at  $\infty$ .

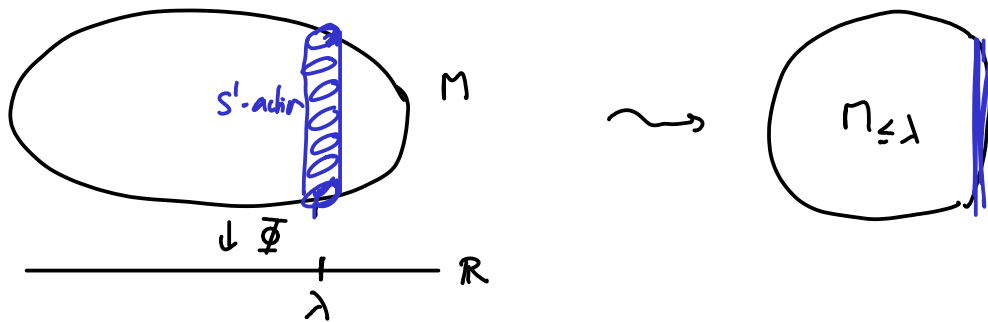
$\Rightarrow$  compactify  $U$  by cutting.

• Abelian symplectic cutting (Lerman)

$(M, \omega) \supseteq$  Ham.  $S^1$ -action,  $\phi: M \rightarrow \mathbb{R}$  moment map  
 $\lambda \in \mathbb{R}$

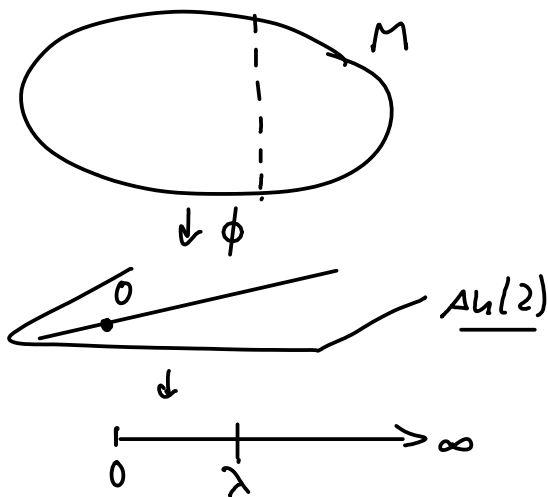
Consider  $M \times \mathbb{C}$ , diagonal  $S^1$ -action,  $\psi(m, z) = \phi(m) + \frac{|z|^2}{2}$

$\leadsto M_{\leq \lambda} := \psi^{-1}(\lambda) / S^1 \cong \phi^{-1}(-\infty, \lambda) \cup (\phi^{-1}(\lambda) / S^1)$



• Nonabelian cutting (Woodward)

$(M, \omega) \supseteq SU(2)$  Ham. action,  $\lambda \in (0, \infty)$



$\leadsto S^1$ -action on  $M \setminus \phi^{-1}(0)$ :

$u = e^{i\theta}$  acts by  $m \mapsto \exp\left(\theta \frac{\phi(m)}{\tilde{\phi}(m)}\right) m$

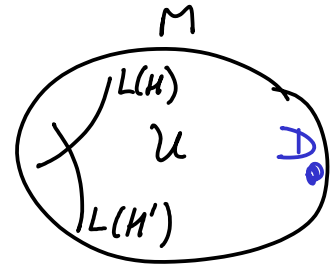
( $\tilde{\phi} = |\phi|$  as before)

moment map is  $\tilde{\phi}$ .

$\Rightarrow M_{\leq \lambda} := \phi^{-1}(0) \cup (\tilde{\phi}^{-1}(0, \infty))_{\leq \lambda}$   
 $\uparrow$   
 $S^1$ -cutting

Apply to our setting  $\Rightarrow U_{\leq \lambda}$  compact, monotone iff  $\lambda = \frac{1}{2}$ .

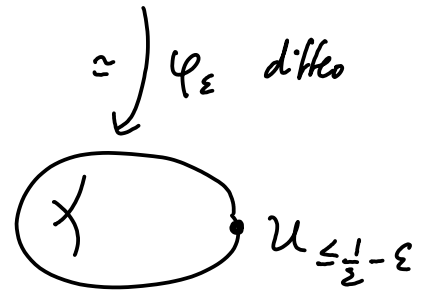
Let  $M = U_{\leq \frac{1}{2}} = U \cup D$ .  
 $\uparrow$   
 $\tilde{\Phi}^{-1}([0, \frac{1}{2}))$



$\rightsquigarrow (M, \omega_0)$ , manifold but  $\omega_0$  degenerate along D

But  $M \xrightarrow[\varphi_\varepsilon]{\text{diffeo}} U_{\leq \frac{1}{2} - \varepsilon}$

$\Rightarrow \omega_\varepsilon = \varphi_\varepsilon^* \omega_{\leq \frac{1}{2} - \varepsilon}$  symplectic (nondeg.) but not manifold

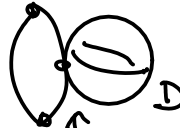


$\rightsquigarrow$  Define HF(L, L') using  $\tilde{J}$  a.c.s. that

- frames  $\omega_\varepsilon$
- frames  $\omega_0$  outside D
- $\omega_0(v, \tilde{J}v) \geq 0$  on D

Thm 1  $\parallel$   $\exists$  cont  $J_t$ -holom. disks rel.  $L_0, L_1$  (outside D)  
 where  $J_t = \omega_\varepsilon$ -tame generic perturb<sup>n</sup> of  $\tilde{J}$   
 $\Rightarrow \partial$  is finite and  $\partial^2 = 0$ .

Pf: • energy bound  $\Rightarrow J_t$ -hol. objects have index  $\geq 0$   
 (index =  $\int \omega_0$  by nondegeneracy)  
 (true for  $\tilde{J} \Rightarrow$  true for  $J_t$ )

• bubbles of index 0 are  $S^2 \subset D$    
Codim 4  $\Rightarrow \partial^2 = 0$

Rmk: • for  $G \neq SU(2)$ ,  $\text{codim } D = 2$ .  $\text{rank}(G)$   
 • invariance? - need more quilt theory

Open q<sup>n</sup>: relate  $\tilde{HSI}$  to Ozsvath-Szabo's HF? (same rk for  $S^3, S^2 \times S^1, L(p, 1)$ )